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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109410.120)

Applicant(s): Chen, et al.

Serial No.: 09/882,502 Examiner: Not Yet Assigned

Filed: June 15, 2001 Group Art Unit: 2122

For: MACHINE LEARNING METHOD

Assistant Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2100

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

October 16, 2001
Date

[Signature]
Anthony W. Sutton

TRANSMITTAL LETTER

Commissioner:

Enclosed for filing are the following documents:

- 1.) Information Disclosure Statement;
- 2.) Form PTO-1449, including 4 documents; and
- 3.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Respectfully submitted,

Date: Oct 15, 2001

[Signature]
Donald R. Steinberg
Reg. No. 37,241
Attorney for Applicants

Hale and Dorr LLP
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U.S.S.N. 09/648,516

Page 2

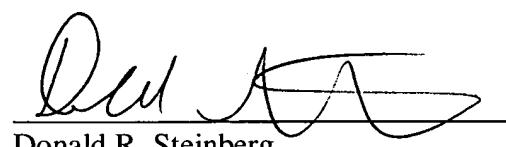
No additional fees are believed to be due in connection with this letter. However, please charge any additional costs or credit any overpayment to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

HALE AND DORR LLP

Date: Oct 9, 2001

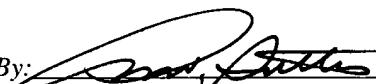

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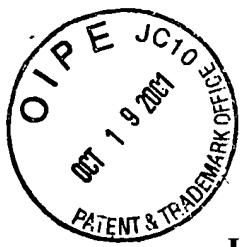
CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

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Date: October 9, 2001

By: 
Anthony W. Sutton

sutton_anthony C:\NrPortbl\Boston\ANTHOS\1258369_1.DOC



414

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
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October 14, 2001

Date



Anthony W. Sutton

INFORMATION DISCLOSURE STATEMENT

Commissioner:

Applicants and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

Pursuant to 37 C.F.R. §1.97, Applicants hereby make of record the documents listed on the attached Form PTO-1449. It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

Date: 10-15-2001



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